

Notice of Exemption**Appendix E**

To: Office of Planning and Research
P.O. Box 3044, Room 113
Sacramento, CA 95812-3044

County Clerk

County of: Ventura

From: (Public Agency): Caltrans
100 South Main St. Suite 100, Mail Station 16A
Los Angeles, California 90012

(Address)

Project Title: VEN-101 Slab Replacement

Project Applicant: California Department of Transportation

Project Location - Specific:

State Route 101, between post miles 26.7-31.0

Project Location - City: Ventura

Project Location - County: Ventura

Description of Nature, Purpose and Beneficiaries of Project:

The proposed work includes replacing portions of individual failed pavement slabs. Excavation is proposed to a maximum depth of 1 foot. No public utilities will be relocated, and no new right-of-way (ROW) will be acquired for the project. Staging areas will be within Caltrans ROW.

Name of Public Agency Approving Project: California Department of Transportation

Name of Person or Agency Carrying Out Project: California Department of Transportation

Exempt Status: **(check one):**

- ☐ Ministerial (Sec. 21080(b)(1); 15268);
- ☐ Declared Emergency (Sec. 21080(b)(3); 15269(a));
- ☐ Emergency Project (Sec. 21080(b)(4); 15269(b)(c));
- ☒ Categorical Exemption. State type and section number: Class 1(d)
- ☐ Statutory Exemptions. State code number: _____

Reasons why project is exempt:

Restoration or rehabilitation of deteriorated or damaged structures, facilities, or mechanical equipment to meet current standards of public health and safety, unless it is determined that the damage was substantial and resulted from an environmental hazard such as earthquake, landslide, or flood

Lead Agency
Contact Person: Joshua Miller

Area Code/Telephone/Extension: (213) 897-0309

If filed by applicant:

1. Attach certified document of exemption finding.
2. Has a Notice of Exemption been filed by the public agency approving the project? ☒ Yes ☐ No

Signature: [Signature] Date: 5-15-19 Title: Env. Planner

☒ Signed by Lead Agency ☐ Signed by Applicant

Authority cited: Sections 21083 and 21110, Public Resources Code.
Reference: Sections 21108, 21152, and 21152.1, Public Resources Code.

Date Received for filing at OPR: _____

Governor's Office of Planning & Research

MAY 20 2019

STATE CLEARINGHOUSE

CATEGORICAL EXEMPTION/CATEGORICAL EXCLUSION DETERMINATION FORM

07-VEN-101	26.7-31.0	4W650	EFIS: 0719000118 CE: 201904010
Dist.-Co.-Rte. (or Local Agency)	P.M./P.M.	E.A/Project No.	Federal-Aid Project No. (Local Project)/Project No.

PROJECT DESCRIPTION: (Briefly describe project including need, purpose, location, limits, right-of-way requirements, and activities involved in this box. Use Continuation Sheet, if necessary.)

Caltrans is proposing a slab replacement project on US-101 between Post Mile 26.7 and 31.0 in Ventura, Ventura County. The proposed work includes replacing portions of individual failed pavement slabs. Excavation is proposed to a maximum depth of 1 foot. No public utilities will be relocated, and no new right-of-way (ROW) will be acquired for the project. Staging areas will be within Caltrans ROW.

CALTRANS CEQA DETERMINATION (Check one)

☐ Not Applicable – Caltrans is not the CEQA Lead Agency ☐ Not Applicable – Caltrans has prepared an Initial Study or Environmental Impact Report under CEQA

Based on an examination of this proposal, supporting information, and the above statements, the project is:

☐ Exempt by Statute. (PRC 21080[b]; 14 CCR 15260 et seq.)
☒ Categorically Exempt. Class 1 (d). (PRC 21084; 14 CCR 15300 et seq.)

Based on an examination of this proposal and supporting information, the following statements are true and exceptions do not apply:

- If this project falls within exempt class 3, 4, 5, 6 or 11, it does not impact an environmental resource of hazardous or critical concern where designated, precisely mapped, and officially adopted pursuant to law.
- There will not be a significant cumulative effect by this project and successive projects of the same type in the same place, over time.
- There is not a reasonable possibility that the project will have a significant effect on the environment due to unusual circumstances.
- This project does not damage a scenic resource within an officially designated state scenic highway.
- This project is not located on a site included on any list compiled pursuant to Govt. Code § 65962.5 ("Cortese List").
- This project does not cause a substantial adverse change in the significance of a historical resource.

☐ Common Sense Exemption. [This project does not fall within an exempt class, but it can be seen with certainty that there is no possibility that the activity may have a significant effect on the environment (14 CCR 15061[b][3].)]

Susan Tse Koo
 Print Name: Senior Environmental Planner or
 Environmental Branch Chief

Susan Tse Koo
 Signature

05/15/2019
 Date

GARY KEVORKIAN
 Print Name: Project Manager

G. Kevorkian
 Signature

5/15/19
 Date

NEPA COMPLIANCE

In accordance with 23 CFR 771.117, and based on an examination of this proposal and supporting information, the State has determined that this project:

- does not individually or cumulatively have a significant impact on the environment as defined by NEPA, and is excluded from the requirements to prepare an Environmental Assessment (EA) or Environmental Impact Statement (EIS), and
- has considered unusual circumstances pursuant to 23 CFR 771.117(b).

CALTRANS NEPA DETERMINATION (Check one)

☐ **23 USC 326:** The State has determined that this project has no significant impacts on the environment as defined by NEPA, and that there are no unusual circumstances as described in 23 CFR 771.117(b). As such, the project is categorically excluded from the requirements to prepare an EA or EIS under the National Environmental Policy Act. The State has been assigned, and hereby certifies that it has carried out the responsibility to make this determination pursuant to Chapter 3 of Title 23, United States Code, Section 326 and a Memorandum of Understanding dated May 31, 2016, executed between the FHWA and the State. The State has determined that the project is a Categorical Exclusion under:

☐ **23 CFR 771.117(c): activity (c)()**

☐ **23 CFR 771.117(d): activity (d)()**

☐ **Activity _____ listed in Appendix A of the MOU between FHWA and the State**

☐ **23 USC 327:** Based on an examination of this proposal and supporting information, the State has determined that the project is a Categorical Exclusion under 23 USC 327. The environmental review, consultation, and any other actions required by applicable Federal environmental laws for this project are being, or have been, carried out by Caltrans pursuant to 23 USC 327 and the Memorandum of Understanding dated December 23, 2016 and executed by FHWA and Caltrans.

Print Name: Senior Environmental Planner or
 Environmental Branch Chief

Signature

Date

Print Name: Project Manager/DLA Engineer

Signature

Date

Governor's Office of Planning & Research

MAY 20 2019

Date of Categorical Exclusion Checklist completion: 5.13.19

Date of ECR or equivalent : 5.14.19

Briefly list environmental commitments on continuation sheet. Reference additional information, as appropriate (e.g., CE checklist, additional studies and design conditions).

CATEGORICAL EXEMPTION/CATEGORICAL EXCLUSION DETERMINATION FORM
Continuation Sheet

07-VEN-101 Dist.-Co.-Rte. (or Local Agency)	26.7-31.0 P.M./P.M.	4W650 E.A/Project No.	EFIS: 0719000118 CE: 201904010 Federal-Aid Project No. (Local Project)/Project No.
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If there are any changes to the proposed project's location or activities, an additional review by the Division of Environmental Planning will be required.

Hazardous Waste:

- 1) The contractor is required to prepare a project specific Lead Compliance Plan (LCP) to prevent or minimize worker exposure to lead during traffic stripe and pavement marking removal and the disturbance of soil containing Aerially Deposited Lead.
- 2) The Non-Standard Special Provision (NSSP) 14-11.09 "Minimal Disturbance of Material Containing Regulated Concentrations of ADL" will be prepared for the PS&E package.
- 3) ADL impacted soil shall not be transported off site.

Cultural Resources:

- 1) If previously unidentified cultural materials are unearthed during construction, work shall be halted in that area until a qualified archaeologist can assess the significance of the find.

Biological Resources:

- 1) All work will be limited to the prism of the roadway, or the edge of the unvegetated roadway for purposes of equipment maneuvering and traffic control.
- 2) There will be no clearing and grubbing of vegetation as a result of this project.
- 3) All appropriate Stormwater and Erosion Best Management Practices will be incorporated into the project specifications.
- 4) All pollution and litter laws and regulations will be followed by the contractor.
- 5) The Division of Environmental Planning will be provided the Project Specifications and Expenditures Review Package for review and comments.

Coastal Commission:

- 1) The Division of Environmental Planning will be provided the complete project plans and milestone dates prior to beginning the coastal exemption application process. The process is expected to take 3 months to complete.

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MAY 20 2019

STATE CLEARINGHOUSE